

**10/539276**

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

**U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET**

6/23/2005 MKAYPAGH 00000037 220261 10539276

01 FC:1631 300.00 DA  
02 FC:1632 500.00 DA  
03 FC:1633 200.00 DA  
04 FC:1617 130.00 DA  
05 FC:1615 350.00 DA

12/07/2005 AJOHNSD2 08660001 220261 18539276

01 FC:1642 400.00 DA  
02 FC:1614 600.00 DA

02 FC:1632 500.00 CR

PTO-1556 print date: 09/26/2006 THOLLAND  
12/07/2005 AJOHNSD2 08660001 220261 18539276  
(S/87) 1614 600.00 CR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re PATENT APPLICATION OF

Applicant: Per MILWERTZ



Appl'n No.: 10/539,276

Appl'n Filing Date: June 16, 2005

For: POWER CAPACITOR

Atty. Dkt.: 43315-219086

Group Art Unit: TBA

Examiner: TBA

2006 MAR 15 PM 4:07

US PATENT & TRADEMARK  
OFFICE

26694

U.S. PATENT AND  
TRADEMARK OFFICE

February 2, 2006

**REQUEST FOR REFUND**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please refund \$600.00 to Deposit Account No. 22-0261. A copy of the Deposit Account Statement is attached. The U.S. Patent and Trademark Office apparently charged the deposit account on December 7, 2005 for 3 independent claims in excess of 3. However, a Preliminary Amendment was filed on June 16, 2005, with only one (1) independent claim. Hence, please refund the above amount, and notify the undersigned accordingly.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Eric J. Franklin".

Eric J. Franklin, Registration No. 37,134

VENABLE

P.O. Box 34385

Washington, DC 20043-9998

Telephone: (202) 344-4936

Fax: (202) 344-8300

## BEST AVAILABLE COPY

## Deposit Account Statement

Page 4 of 13

12/06 251	PCT/US05/43024	29953-221614	1601	\$300.00	\$66,114.06	
12/06 252	PCT/US05/43024	29953-221614	1704	\$1,877.00	\$64,237.06	
12/06 253	PCT/US05/43024	29953-221614	1701	\$1,023.00	\$63,214.06	
12/06 254	PCT/US05/43024	29953-221614	8007	\$20.00	\$63,194.06	
12/06 255	PCT/US05/43023	29953-221636	1601	\$300.00	\$62,894.06	
12/06 256	PCT/US05/43023	29953-221636	1704	\$1,877.00	\$61,017.06	
12/06 257	PCT/US05/43023	29953-221636	1701	\$1,023.00	\$59,994.06	
12/06 258	PCT/US05/43023	29953-221636	8007	\$20.00	\$59,974.06	
12/06 259	PCT/US05/43022	29953-221631	1601	\$300.00	\$59,674.06	
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12/06 261	PCT/US05/43022	29953-221631	1701	\$1,023.00	\$56,774.06	
12/06 262	PCT/US05/43022	29953-221631	1703	\$96.00	\$56,678.06	
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12/06 299	10600540	38898-190334	2201	\$100.00	\$56,558.06	
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12/06 325	PCT/US05/43077	29953-221580	1601	\$300.00	\$56,158.06	
12/06 326	PCT/US05/43077	29953-221580	1704	\$1,877.00	\$54,281.06	
12/06 327	PCT/US05/43077	29953-221580	1701	\$1,023.00	\$53,258.06	
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12/07 2	10257213	10754329-109069	1806	\$180.00	\$53,158.06	
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12/07 25	10546835	31229-222524	2632	-\$250.00	\$52,808.06	
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12/07 59	10730039	37860-188416	2401	\$250.00	\$50,503.06	
12/07 60	10730039	37860-188416	2253	\$510.00	\$49,993.06	
12/07 78	10558832	43315-225721	1631	\$300.00	\$49,693.06	
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12/07 88	2296345	175434	6205	\$200.00	\$48,753.06	
12/07 89	2296345		6208	\$400.00	\$48,353.06	
12/07 93	60675482	21680-223647	8007	\$20.00	\$48,333.06	
12/07 94	60675482	21680-223647	8024	\$40.00	\$48,293.06	
12/07 95	09761766	28549-1659.0610001	1501	\$1,400.00	\$46,893.06	
12/07 95	78767594	55372-224778	7001	\$325.00	\$46,568.06	
12/07 96	09761766	1659.0610001	1504	\$300.00	\$46,268.06	

safer consult

pto charged by entity, we only  
listed sm. \$700

127002

Venable Filing Number

Attorney A: EJF/ksf  
PTO Due Date: June 17, 2005  
Date Filed: June 16, 2005

TM Official Gazette Date:

Atty. Docket No: 43315-219086  
Re: Per MILWERTZ  
Application No: Not Yet Assigned  
Patent No.:  
Trademark:

Filing Date: June 16, 2005  
Issue Date:  
Trademark Reg. No:

Opposition/Cancellation No:

The following items were received from Venable, Washington, D.C., by the U.S. Patent & Trademark Office:

Transmittal Letter

**U.S. PTO FEES ENCLOSED**

\$300.00 Basic Filing Fee  
\$500.00 Search Fee  
\$200.00 Examination Fee  
  
130.00 Surcharge Fee  
  
350.00 Additional Claims (7 over 20)

Fee Transmittal Letter

New U.S. Provisional Application ( pages of specification/claims)  
 Formal Drawings (4 sheets, Figs. 1-8)

Inventor Declaration

U.S. National Stage Application of PCT Application based on  
PCT/SE2003/001970, Filed December 16, 2003, Published as WO 2004/055842 A1.  
(Specification/claims - 14 pages)

Translation of International Application ( pages with Certificate of Translation)

New U.S. TM Application ( specimens)

Rule 53(d) Continued Prosecution Application

Substitute Specification

Rule 53(b) Continuation or Divisional Application (*attach copy of specification, claims, drawings & declaration*)

Priority Document-Cert. Copy of Appln.#: ; Country

Date Filed:

Request for Continued Examination (RCE) under 37 CFR 1.114

Request for Reconsideration

Application Data Sheet

Assignment w/Cover Sheet

IDS w/ PTO SB/08 a/k/a PTO Form-1449 with International Search Report (copies of references)

Response

Preliminary Amendment

Petition/Request for Extension of Time (one mo. ext.)

Notice of Appeal

Appeal Brief (*in triplicate*)

Reply Brief (*in triplicate*)/  Request for Oral Hearing

Confirmation of Hearing Petition

Issue Fee Transmittal

Certificate of Correction

Maintenance Fee Transmittal

Power of Attorney

Response to Notice to File Missing Parts

Response to Notice to File Missing Requirements

Response to Restriction Requirement

Petition to Revive

Sequence Listing - CDR Enclosed?  Yes  No

Status Inquiry

Request for Non-Publication

Request to Rescind Non-Publication Request

Terminal Disclaimer

TM Statement of Use

Extension of Time ( mo. ext.)

Declaration Under 8

Declaration Under 8 and 15

TM Renewal Application

Notice of Opposition (*Please list all documents in Other section*)

Amendment to Alleged Use

Other: (*Please describe below*)

Forms PCT/ISA/210; PCT/IPEA/409 and PCT/IB/304

Postcard

**\$1,480.00 Total Fees Paid**

Check Number Attached  
Charge Deposit Account No. 22-0261\*

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.  
**PCT/SE2003/001970**INTERNATIONAL FILING DATE  
**December 16, 2003**ATTORNEY'S DOCKET NUMBER  
**43315-219088**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

TITLE OF INVENTION  
**POWER CAPACITOR**PRIORITY DATE CLAIMED  
**December 17, 2002**APPLICANT(S) FOR DO/EO/US  
**Per MILWERTZ**

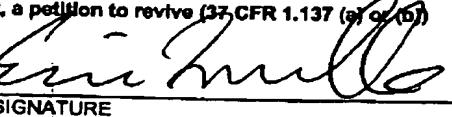
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- The US has been elected by the expiration of 19 months from the priority date (Article 31).
- A copy of the International Application as filed (35 U.S.C. 371(c)(2)), published as WO 2004/055842 A1
  - Is attached hereto (required only if not communicated by the International Bureau).
  - has been communicated by the International Bureau. (attach form IB 308)
  - Is not required, as the application was filed in the United States Receiving Office (RO/US).
- An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - Is attached hereto.
  - has been previously submitted under 35 U.S.C. 154(d)(4)
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - are attached hereto (required only if not communicated by the International Bureau).
  - have been communicated by the International Bureau.
  - have not been made; however, the time limit for making such amendments has NOT expired.
  - have not been made and will not be made.
- An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- An oath or declaration of the Inventor(s) (35 U.S.C. 371(c)(4)).
- An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 38 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- An Information Disclosure Statement under 37 CFR 1.97 and 1.98, with \_\_\_\_\_ references.
- An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- A **FIRST** preliminary amendment.
- An Application Data Sheet under 37 CFR 1.76.
- A substitute specification.
- A power of attorney and/or change of address letter.
- A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
- A second copy of the published international application under 35 U.S.C. 154(d)(4).
- A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).
- Other items or information: (a) PCT/ISA/210; (b) PCT/I/PER/409; (c) PCT/IB/304 and (d) Postcard

This collection of information is required by 37 CFR 1.414 and 1.49-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/US2003/001970		ATTORNEY'S DOCKET NUMBER 4335-216000	
<b>21. The following fees are submitted:</b>					
<input checked="" type="checkbox"/> a) Basic national fee .....		\$300.00		\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee .....		\$200.00		\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee .....		\$500.00		\$ 500.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>			<b>\$1000.00</b>		
<b>\$ 1,000.00</b>					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheet (excluding sequence listing or computer listing field in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction Thereof (round up to a whole number)		RATE	
-100 =	/50 =			x \$250.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total claims		27 - 20 =	7	x \$50.00	
Independent claims		1 - 3 =	0	x \$200.00	
MULTIPLE DEPENDENT CLAIMS(S) (If applicable)				+ \$360.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>					
<b>\$ 1,480.00</b>					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
<b>SUBTOTAL =</b>					
<b>\$ 1,480.00</b>					
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
<b>TOTAL NATIONAL FEE =</b>					
<b>\$ 1,480.00</b>					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					
<b>TOTAL FEES ENCLOSED =</b>					
<b>\$ 1,480.00</b>					
<input type="checkbox"/> Amount to be refunded: \$					
<input type="checkbox"/> Amount to be charged: \$					
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>22-0261</u> in the amount of <u>\$ 1,480.00</u> to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>22-0261</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
 <b>SIGNATURE</b> Eric J. Franklin NAME 37.134 REGISTRATION NUMBER					
VENABLE LLP P.O. Box 34385 Washington D.C. 20043-0998 Phone No. 202-344-4000 Fax No. 202-344-8300					

SEND ALL CORRESPONDENCE TO:

**VENABLE LLP**  
P.O. Box 34385  
Washington D.C. 20043-9998  
Phone No. 202-344-4000  
Fax No. 202-344-8300

SIGNATURE

Eds J. Franklin.

**NAME**

37.134

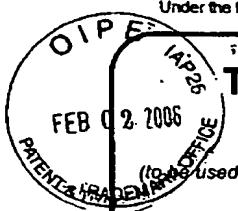
**REGISTRATION NUMBER**

IAP7 Rsc'd PCT/PTO 02 FEB 2006

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → 

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/539,276
Filing Date	June 16, 2005
First Named Inventor	Per MILWERTZ
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	43315-219086

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Preliminary Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Requirements under 35 U.S.C. 371	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Consent of Assignee to Correction of Inventorship <input type="checkbox"/> Petition to Correct Inventorship <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> <b>I. REQUEST FOR REFUND</b>
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	*26694*	
Signature	26694 PATENT TRADEMARK OFFICE	
Date	February 2, 2006	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450b on this date:

Typed or printed name		
Signature	Date	

**VENABLE**  
ATTORNEYS AT LAW

SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, 22313-1450.

# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

TEB 02 2006

PATENT & TRADEMARK OFFICE

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number	10/539,278
Filing Date	June 18, 2005
First Named Inventor	Per MILWERTZ
Examiner Name	TBA
Group / Art Unit	TBA
Attorney Docket No.	43315-219086

#### METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

22-0261

Deposit Account Name

Venable LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check     Credit card     Money Order     Other

#### FEE CALCULATION

##### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1011	300	2011	150	Utility filing fee	
1012	200	2012	100	Design filing fee	
1013	200	2013	100	Plant filing fee	
1014	300	2014	150	Reissue filing fee	
1005	200	2005	100	Provisional filing fee	
1081	250	2081	125	Utility App. Size Fee	
1082	250	2082	125	Design App Size Fee	
1083	250	2083	125	Plant App. Size Fee	
1084	250	2084	125	Reissue App Size Fee	
1085	250	2085	125	Prov. App Size Fee	

SUBTOTAL (1) (3)0

##### 2. EXTRA CLAIM FEES

Total Claims	-20 **	=	Extra Claims	Fee from below	Fee Paid
Independent Claims	-3**	=		X	
Multiple Dependent				X	

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	50	2202	25	Claims in excess of 20
1201	200	2201	100	Independent claims in excess of 3
1203	360	2204	180	Multiple dependent claim, if not paid
1204	200	2204	100	** Reissue independent claims in excess of three
1205	50	2205	25	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (3)

\*\* or number previously paid, if greater. For Reissues, see above

#### FEE CALCULATION (continued)

Fee Code	Lrg Ent Fee (\$)	Sm Ent Fee (\$)	Fee Description	Fee Paid
1111	500	2111	250 Utility Search Fee	
1112	100	2112	50 Design Search Fee	
1113	300	2113	150 Plant Search Fee	
1114	500	2114	250 Reissued Search Fee	
1311	200	2311	100 Utility Examination Fee	
1312	130	2312	65 Design Examination Fee	
1313	160	2313	80 Plant Examination Fee	
1314	600	2314	300 Reissue Examination Fee	
1051	130	2051	65 Surcharge - late filing fee or oath	
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130 Non-English specification	
1812	2,520	1812	2,520 For filing a request for reexamination	
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action	
1251	120	2215	60 Extension for reply within first month	
1252	450	2252	225 Extension for reply within second month	
1253	1,020	2253	510 Extension for reply within third month	
1254	1,590	2254	785 Extension for reply within fourth month	
1255	2,160	2255	1,080 Extension for reply within fifth month	
1401	500	2401	250 Notice of Appeal	
1402	500	2402	250 Filing a brief in support of an appeal	
1403	1,000	2403	500 Request for oral hearing	
1451	1,510	1451	1,510 Petition to institute a public use proceeding	
1452	500	2452	250 Petition to revive - unavoidable	
1453	1,500	2453	750 Petition to revive - unintentional	
1501	1,400	2501	700 Utility issue fee (or reissue)	
1502	800	2502	400 Design issue fee	
1503	1,100	2503	550 Plant issue fee	
1807	50	1807	50 Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180 Submission of Information Disclosure Stmt	
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	
1809	790	2809	395 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	385 For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395 Request for Continued Examination (RCE)	
Other fee (specify)				

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (3)

SUBMITTED BY <i>Complete if applicable</i>	
Name (Print/Type)	Eric J. Franklin
Signature	
Reg. No. Attorney/Agent	37,134
Date	February 2, 2006
Telephone	202-344-4000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. SEND TO: Commissioner for Patents, Mail Stop Patent Application, Alexandria, VA 22313-1450.